



## Managed Account Security Transactions and Interest

As of: **September 30, 2019**

### CITY OF CONCORD OPERATING FUNDS - 15290100

#### Transaction Type

Trade	Settle	Security Description	Broker	CUSIP	S&P Rating	Par	Principal Proceeds	Accrued Interest	Total
<b>BUY</b>									
08/29/19	09/03/19	SKANDINAV ENSKILDA BANK LT CD DTD 09/03/2019 1.860% 08/26/2022	BARCLAYS	83050PDR7	A+	600,000.00	(600,000.00)	0.00	(600,000.00)
09/03/19	09/06/19	WALT DISNEY COMPANY/THE DTD 09/06/2019 1.750% 08/30/2024	JPM_CHAS	254687FK7	A	600,000.00	(597,552.00)	0.00	(597,552.00)

#### Transaction Type Sub-Total

**1,200,000.00 (1,197,552.00) 0.00 (1,197,552.00)**

#### INTEREST

09/01/19	09/01/19	PACCAR FINANCIAL CORP NOTE DTD 03/01/2019 2.850% 03/01/2022		69371RP75	A+	200,000.00	0.00	2,850.00	2,850.00
09/01/19	09/25/19	FHLMC SERIES K721 A2 DTD 12/01/2015 3.090% 08/25/2022		3137BM6P6	AA+	285,000.00	0.00	733.88	733.88
09/01/19	09/25/19	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021		3136B1XP4	AA+	271,111.85	0.00	833.66	833.66
09/01/19	09/25/19	FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023		3137FKK39	AA+	246,275.45	0.00	657.35	657.35
09/03/19	09/03/19	AMERICAN EXPRESS CREDIT (CALLABLE) NOTE DTD 03/03/2017 2.200% 03/03/2020		0258M0EE5	A-	300,000.00	0.00	3,300.00	3,300.00
09/04/19	09/04/19	MONEY MARKET FUND		MONEY0002	NR	0.00	0.00	144.81	144.81
09/04/19	09/04/19	CATERPILLAR FINL SERVICE NOTE DTD 09/07/2017 1.850% 09/04/2020		14913Q2A6	A	400,000.00	0.00	3,700.00	3,700.00
09/12/19	09/12/19	INTL BANK OF RECONSTRUCTION AND DEV NOTE DTD 09/19/2017 1.561% 09/12/2020		45905UP32	AAA	1,400,000.00	0.00	10,934.00	10,934.00
09/12/19	09/12/19	TOYOTA MOTOR CORP NOTES DTD 03/12/2015 2.150% 03/12/2020		89236TCF0	AA-	350,000.00	0.00	3,762.50	3,762.50
09/14/19	09/14/19	AMERICAN EXP (CALLABLE) CREDIT CORP NOTE DTD 09/14/2015 2.600% 09/14/2020		0258M0DX4	A-	250,000.00	0.00	3,250.00	3,250.00
09/15/19	09/15/19	ORACLE CORP (CALLABLE) NOTES DTD 07/07/2016 1.900% 09/15/2021		68389XBK0	A+	550,000.00	0.00	5,225.00	5,225.00



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Trade	Settle	Security Description	Broker	CUSIP	S&P Rating	Par	Principal Proceeds	Accrued Interest	Total
<b>INTEREST</b>									
09/15/19	09/15/19	NATIONAL RURAL UTIL COOP NOTE DTD 02/26/2018 2.900% 03/15/2021		63743HER9	A	310,000.00	0.00	4,495.00	4,495.00
09/15/19	09/15/19	NATIONAL RURAL UTIL COOP NOTE DTD 02/26/2018 2.900% 03/15/2021		63743HER9	A	240,000.00	0.00	3,480.00	3,480.00
09/22/19	09/22/19	UNILEVER CAPITAL CORP NOTES DTD 03/22/2018 2.750% 03/22/2021		904764AZ0	A+	530,000.00	0.00	7,287.50	7,287.50
<b>Transaction Type Sub-Total</b>						<b>5,332,387.30</b>	<b>0.00</b>	<b>50,653.70</b>	<b>50,653.70</b>

<b>PAYDOWNS</b>									
09/01/19	09/25/19	FHMS KP05 A DTD 12/01/2018 3.203% 07/01/2023	MBS PMT	3137FKK39	AA+	400.95	400.95	0.00	400.95
09/01/19	09/25/19	FNA 2018-M5 A2 DTD 04/01/2018 3.560% 09/25/2021	MBS PMT	3136B1XP4	AA+	9,990.74	9,990.74	0.00	9,990.74
<b>Transaction Type Sub-Total</b>						<b>10,391.69</b>	<b>10,391.69</b>	<b>0.00</b>	<b>10,391.69</b>

<b>SELL</b>									
08/29/19	09/03/19	US TREASURY NOTES DTD 05/01/2017 1.875% 04/30/2022	GOLDMAN	912828X47	AA+	250,000.00	252,666.02	1,604.96	254,270.98
08/29/19	09/03/19	US TREASURY NOTES DTD 05/01/2017 1.875% 04/30/2022	GOLDMAN	912828X47	AA+	350,000.00	353,732.42	2,246.94	355,979.36
09/03/19	09/06/19	US TREASURY NOTES DTD 10/31/2016 1.250% 10/31/2021	HSBC	912828T67	AA+	600,000.00	597,304.69	2,629.08	599,933.77
<b>Transaction Type Sub-Total</b>						<b>1,200,000.00</b>	<b>1,203,703.13</b>	<b>6,480.98</b>	<b>1,210,184.11</b>

<b>Managed Account Total</b>							<b>\$16,542.82</b>	<b>\$57,134.68</b>	<b>\$73,677.50</b>
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